

Electronic Acknowledgement Receipt

EFS ID:	1539233
Application Number:	09911772
International Application Number:	
Confirmation Number:	3927
Title of Invention:	Securities market and market maker activity tracking system and method
First Named Inventor/Applicant Name:	Stephen Cutler
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Filer:	Morris David Galin
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
1	Extension of Time	CUTSP0102USR01ExtensionofTime.pdf	195837	no	1
Warnings:					
Information:					
2		CUTSP0102USR01final.pdf	220204	yes	63
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment - After Non-Final Rejection		1	1	
	Specification		2	7	
	Claims		8	49	
	Applicant Arguments/Remarks Made in an Amendment		50	63	
Warnings:					
Information:					
3	Fee Worksheet (PTO-06)	fee-info.pdf	8460	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			424501		

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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